

**UNITED STATES DISTRICT COURT**

**Jury demand date:**

D. C. Form No. 106A Rev.

| TITLE OF CASE   |              |  |  | ATTORNEYS   |                     |      |       |
|---|--------------|--|--|---|---------------------|------|-------|
| <p>Securities and Exchange Commission</p> <p>vs</p> <p>Oil Field Drilling Company, an Oklahoma corporation; Petroleum Equipment Leasing Company, an Oklahoma corporation; Gas Transmission Organization, Inc., a Texas corporation; Fred G. Luke; Barry Krug, Individually and d/b/a Alpha-Omega; Alvin L. Bawks; Robert Temp</p> |              |  |  | <p><b>For plaintiff:</b></p> <p>Gerald E. Boltz<br/>Richard M. Hewitt<br/>Charles T. Rose<br/>SEC Commission<br/>503 U. S. Courthouse<br/>Fort Worth, Texas</p> <p><b>For defendant:</b></p> <p>Wilmer, Cutler &amp; Pickering<br/>900 17th St., N.W.<br/>Washington, D. C.</p> <p><u>John M. Imel</u><br/>Martin, Logan, Moyers, Martin &amp; Conway<br/>920 National Bank of Tulsa Bldg.<br/>Tulsa, Okla. 74103</p> <p><u>R. Dobie Langenkamp, (for Temp &amp; Krug)</u><br/>Doerner, Stuart, Saunders, Daniel &amp; Langenkamp, 1200 Atlas Life Bg. Tulsa</p> <p><u>Stephen A. Nye (for Temp and Krug)</u><br/>235 Montgomery Street<br/>San Francisco, Calif. 94104</p> |                     |      |       |
| STATISTICAL RECORD  | COSTS        |  |  | DATE  | NAME OR RECEIPT NO. | REC. | DISB. |
| J.S. 5 mailed 4-1-70  | Clerk        |  |  |   |                     |      |       |
| J.S. 6 mailed 7-1-70  | Marshal      |  |  |   |                     |      |       |
| Basis of Action: Injunction   | Docket fee   |  |  |   |                     |      |       |
|   | Witness fees |  |  |   |                     |      |       |
| Action arose at: Tulsa  | Depositions  |  |  |   |                     |      |       |

| DATE    | PROCEEDINGS   | Date Order or Judgment Noted |
|---------|---|------------------------------|
| 3-27-70 | Complaint filed. m  |                              |
| 3-27-70 | Summons issued to Krug, Bawks & Temp.   |                              |
| 3-27-70 | Stipulation and consent, filed. m   |                              |
| 3-27-70 | Motion for preliminary injunction, filed. m   |                              |
| 3-27-70 | Attestation of Charles A. Moore, Chief, Branch of Records, SEC, filed. m  |                              |
| 3-27-70 | Duplicate official transcripts (3) of proceedings before SEC in File No. FW-1421, dated 2-26-70 and 2-27-70, filed. m   |                              |
| 3-27-70 | Order of permanent injunction by consent as to defts. Oil Field Drilling Company, Petroleum Equipment Leasing Company, Gas Transmission Organization, Inc., and Fred G. Luke, filed. (AEB-J)m   |                              |
| 3-27-70 | Order setting hearing on pltf's motion for preliminary injunction as to defts, Barry Krug, Alvin L. Bawks and Robert Temp for 10:00 a.m., 5-13-70, filed. (AEB-J)m  |                              |
| 3-27-70 | Hearing held on petition for Preliminary Injunction. Consent Decree entered, order signed in open court, and Hearing on Injunction set for Wednesday, March 13, 1970 at 10:00 A.M. (AEB-J)h   |                              |
| 3-30-70 | Order of permanent injunction by consent returned and filed - served Oil Field Drilling Company, an Oklahoma corporation; Petroleum Equipment Leasing Company, an Oklahoma corporation; Gas Transmission Organization, Inc., a Texas corporation by serving Mr. Fred G. Luke, president of said companies; and serving Fred G. Luke personally at Tulsa, Oklahoma on 3-27-70. (Fee \$12.00) b |                              |
| 4-15-70 | Attestation as to application for registration as a broker and/or dealer being filed under the name of Alvin L. Bawks, Robert Temp or Barry Krug, d/b/a Alpha-Omega, filed.   |                              |
| 4-20-70 | Return on service of writ, filed. Served summons, motion for prel. inj. & order setting hearing, on Alvin L. Bawks in Sherman Oaks, Calif. on 4-14-70. (Fees: \$15.36)m   |                              |
| 5-7-70  | Returns on service of writ: served Robert Temp at San Francisco, Cal. on 4-2-70; (USM \$8.48); served Barry Krug dba Alpha-Omega at San Mateo, Cal. on 4-22-70 (USM \$29.12)g   |                              |
| 5-11-70 | Application of Robert Temp for enlargement of time to plead or answer, filed. g   |                              |
| 5-11-70 | Order granting Robert Temp two additional weeks from this date in which to plead or answer, filed. (MME-Clerk)g   |                              |
| 5-11-70 | Application of Bernard T. Krug for enlargement of time to plead or answer, filed. g   |                              |
| 5-11-70 | Order granting Bernard T. Krug two additional weeks from this date in which to plead or answer, filed. (MME-Clerk)g   |                              |
| 5-13-70 | Case called for hearing on petition for Preliminary Injunction. Court grants no preliminary relief, and case to be passed for full scale hearing on merits. (AEB-J)h  |                              |
| 5-13-70 | Order of Permanent Injunction by Consent filed by defendant Alvin L. Bawks and Stipulation and Consent to entry of Final Judgment of Permanent Injunction filed. (AEB-J)h   |                              |
| 5-25-70 | Motion of defendants Krug and Temp to transfer cause to Northern District of California, filed. g   |                              |
| 5-25-70 | Memorandum of points and authorities in support of motion to transfer to Nor. Dist. California, filed.  |                              |
| 5-25-70 | Plaintiffs directed to file responsive brief within 10 days to motion to transfer case to Nor. Dist. Calif. (AEB-J)g  |                              |
| 6-1-70  | Affidavit of Stephen A. Nye in support of motions for transfer, filed. ds   |                              |
| 6-1-70  | Affidavit of Robert Temp, filed. ds   |                              |
| 6-1-70  | Affidavit of Bernard T. Krug, filed. ds   |                              |
| 6-5-70  | Response of plaintiff, SEC, to motion of defendants Barry Krug and Robert Temp for transfer of this case to the Northern District of California, filed. b   |                              |

(Continued on Page No. 3, Sheet No. 2)

| DATE    | PROCEEDINGS   | Date Order or Judgment Noted |
|---------|---|------------------------------|
| 6-11-70 | Order for transfer of venue filed and entered; defendants' motion for transfer be granted and action transferred to the United States District Court for the Northern District of California, that records and papers be transferred to the Clerk for said court; that defendants, Bernard T. Krug and Robert Temp time to plead or answer be extended to 20 days after the transfer has been affected. (Allen E. Barrow - Judge) with transcript |                              |
| 612-70  | Certified copy of order mailed to Clerk U. S. District Court, Northern District of California; and copies to all counsel of record. b   |                              |
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